



APPLICATION FOR NEW OR TRANSFER PERMIT – RETAILER OR DEALER

State Form 51189 (R6 / 1-20)

FOR OFFICE USE ONLY	
Date received (mm/dd/yy)	
Permit number	
Permit type	
Base fee receipt number	
Catering receipt number	
Processor	
Jurisdiction	

INSTRUCTIONS:

1. Type or print legibly.
2. Include payment.
3. Do not complete shaded areas.
4. Mail to the address at the end of this application form.
5. If there is no opening for this applied permit or there is an omission, this application will be returned.
6. Please attach a completed Property Tax Clearance – Form 1, if applicable, which can be found at <http://in.gov/atc/2409.htm>.
7. Please attach a completed County Verification of Business Location form, if applicable, which can be found at <http://in.gov/atc/2409.htm>.
8. Attach a copy of the Certificate of Existence from the Indiana Secretary of State. (Not applicable for sole proprietorships and simple partnerships.)

* This agency is requesting your Social Security Number in accordance with IC 4-1-8-1; disclosure is mandatory, and this record cannot be processed without it.

PART 1. GENERAL INFORMATION

1.1 Permit type for which you are applying: (See Part 11 for list of permit types.)

1.2. Please briefly describe your business that qualifies you for this permit type. (Do not just state the permit type.)

1.3. Permit number (Required for transfers.)

1.4. This ownership entity is: (Check one)

- Sole Owner Limited Partnership Municipality Simple Partnership Limited Liability Partnership
 Club Association Corporation Limited Liability Company Club Corporation

1.5. Application type

- New (fee listed in Part 10) Transfer of location (\$250 transfer fee IC 7.1-4-4.1-6)
 Transfer of ownership (\$250 transfer fee IC 7.1-4-4.1-6) Transfer of ownership and location (\$500 transfer fee IC 7.1-4-4.1-6)

1.6. Business entity making this application

1.7. Telephone number of applicant

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1.8. Doing business as (DBA)

1.9. Premises address – Location where alcoholic beverages will be dispensed

Street name and number

City / Town

State

ZIP code

1.10. E-mail address

1.11. Telephone number of premises

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1.12. Mailing address, if different than premises address (NOTE: Notices from the ATC will be sent to the mailing address provided on this form. It is the permittee's responsibility to notify the ATC of any changes to the mailing address.)

Name

Address (number and street, city, state, and ZIP code)

1.13. The proposed premises is located in what county?

1.14. Is the proposed permit premises located inside the corporate limits of a city / town?

Yes No

1.15. If yes, please name the incorporated city / town.

1.16. Is there at least 200 feet between this premises and any church or school?

Yes No

If no, please check the exception that applies:

Church or school provided a written statement pursuant to IC 7.1-3-21-11 (Applies only to grocery store, drug store, restaurant, hotel, or catering hall.) (Copy of written statement must be attached to application.)

Wall of the premises and wall of the church or school are separated by at least eighty-five (85) feet, including a two-lane road of at least thirty (30) feet in width

The application is for a retail restaurant permit located in a facility on the National Register of Historic Places (Include documentation of the historic designation.)

The application is for a retail restaurant permit located within the bounds of an historic district established by ordinance pursuant to IC 36-7-11-7 (Include documentation of the historic designation and a district map indicating the location of the premises within the historic district.)

The application is for one of the following permit types:

- a) Mall under IC 7.1-3-20-24.4;
- b) City market under IC 7.1-3-20-25;
- c) Historic railway station under IC 7.1-3-20-16(e)(1);
- d) Renovation under IC 7.1-3-20-16(e)(2); or
- e) Food hall master under IC 7.1-3-20-29 or food hall vendor under IC 7.1-3-20-30.

An alcoholic beverage permit premises has continuously operated at the location since prior to the opening of the church or school.

1.17. Do any individuals, corporations, limited liability companies, limited liability partnerships, or stock owners, members, or partners of such entities have any interest, either directly or indirectly, in any distiller, vintner, farm winery, rectifier, brewer, primary source of supply, or wholesaler permit?	<input type="checkbox"/> Yes <input type="checkbox"/> No
1.18. As owner, do you manage the premises? <i>If no, please complete Part 6, Manager's Questionnaire.</i>	<input type="checkbox"/> Yes <input type="checkbox"/> No
1.19. Do you sell tobacco products? <i>If yes, please provide the Tobacco Sales Certificate number.</i>	<input type="checkbox"/> Yes <input type="checkbox"/> No
1.20. Initial here to acknowledge that you understand that an Excise Officer may enter, inspect, and search your permit premises without a warrant or other process to determine if you are complying with the provisions of the Indiana alcoholic beverage laws/rules. (IC 7.1-3-1-6.)	
1.21. Do you have the right to possess (rent, lease, mortgage, or own) the permit premises for the term of the permit?	<input type="checkbox"/> Yes <input type="checkbox"/> No
PART 2: QUALIFICATIONS	
THE FOLLOWING QUESTIONS PERTAIN TO ALL INDIVIDUALS HAVING AN INTEREST IN THIS APPLICATION.	
NOTE: "Individuals" referred to in all questions in the below section include LLCs, LLPs, corporations, partnerships, and all other business structures recognized under Indiana law as well as a natural person where applicable.	
2.1. Have any individuals with an interest in this permit been convicted of a felony or a misdemeanor? <i>(If yes, please attach letter with dates, court, conviction, and sentence.)</i>	<input type="checkbox"/> Yes <input type="checkbox"/> No
2.2. Have any individuals with an interest in this application ever been convicted of, or found to have committed a violation of, the Indiana Alcoholic Beverage laws, rules, regulations, or orders of the ATC? <i>(If yes, please attach an explanation, including permit number(s).)</i>	<input type="checkbox"/> Yes <input type="checkbox"/> No
2.3. Are all individuals with an interest in this application citizens of the United States?	<input type="checkbox"/> Yes <input type="checkbox"/> No
2.4. Are all individuals with an interest in this application of sound mind and good repute in the community in which they reside?	<input type="checkbox"/> Yes <input type="checkbox"/> No
2.5. Are any individuals with an interest in this application a law enforcement officer, or an officer of a municipal corporation, or government subdivision, of this state charged with any duty or function in the enforcement of Title 7.1 of the Indiana Code?	<input type="checkbox"/> Yes <input type="checkbox"/> No
2.6. Have any individuals with an interest in this application held a permit under Title 7.1 of the Indiana Code and had the permit revoked within one (1) year prior to the date of this application? <i>(If yes, please provide the permit number(s) and an explanation.)</i>	<input type="checkbox"/> Yes <input type="checkbox"/> No
2.7. Have any individuals with an interest in this application made an application for an alcoholic beverage permit of any type which was denied less than one (1) year prior to this application for a permit <i>(unless the application was denied by reason of a procedural or technical defect)</i> ?	<input type="checkbox"/> Yes <input type="checkbox"/> No
2.8. Do any individuals, corporations, limited liability companies, limited liability partnerships, partnerships, or stock owners, members, or partners of such entities have any interest, either directly or indirectly, in any other permits or registrations of any kind issued under Title 7.1 of the Indiana Code connected with, but not limited to, the production, distribution, transportation, or sale of alcoholic beverages? <i>If yes, list permits below. (Attach additional sheet if necessary.)</i>	<input type="checkbox"/> Yes <input type="checkbox"/> No
Permit number(s)	
2.9. Are you indebted to a person or an officer or agent of that person, who holds a brewer's permit or wholesale permit, for a debt, secured by a lien, mortgage, or otherwise upon the premises for which the beer retailers permit is to be applicable or upon any of the property or fixtures in the premises, or used, or to be used in connection with the premises?	<input type="checkbox"/> Yes <input type="checkbox"/> No

PART 3. AFFIDAVIT OF OWNERSHIP

(NOTE: IC 7.1-3-21-8 requires the disclosure of each person who is, or will be, financially or beneficially interested in the permit and the business conducted, or to be conducted, under it. Changes to this list must be filed by the applicant or permittee within ten (10) days of the date when the change became effective.)

3.1 Complete name	Social Security number *	Date of birth (month, day, year)	Citizen of United States <input type="checkbox"/> Yes <input type="checkbox"/> No
Address (number and street, city, state, and ZIP code)			
Nature of interest <input type="checkbox"/> Sole Owner <input type="checkbox"/> Corporate President <input type="checkbox"/> Stockholder <input type="checkbox"/> Partner <input type="checkbox"/> Corporate Secretary <input type="checkbox"/> Club Officer <input type="checkbox"/> Member			Percent of ownership
3.2 Complete name	Social Security number *	Date of birth (month, day, year)	Citizen of United States <input type="checkbox"/> Yes <input type="checkbox"/> No
Address (number and street, city, state, and ZIP code)			
Nature of interest <input type="checkbox"/> Sole Owner <input type="checkbox"/> Corporate President <input type="checkbox"/> Stockholder <input type="checkbox"/> Partner <input type="checkbox"/> Corporate Secretary <input type="checkbox"/> Club Officer <input type="checkbox"/> Member			Percent of ownership
3.3 Complete name	Social Security number *	Date of birth (month, day, year)	Citizen of United States <input type="checkbox"/> Yes <input type="checkbox"/> No
Address (number and street, city, state, and ZIP code)			
Nature of interest <input type="checkbox"/> Sole Owner <input type="checkbox"/> Corporate President <input type="checkbox"/> Stockholder <input type="checkbox"/> Partner <input type="checkbox"/> Corporate Secretary <input type="checkbox"/> Club Officer <input type="checkbox"/> Member			Percent of ownership
3.4 Complete name	Social Security number *	Date of birth (month, day, year)	Citizen of United States <input type="checkbox"/> Yes <input type="checkbox"/> No
Address (number and street, city, state, and ZIP code)			
Nature of interest <input type="checkbox"/> Sole Owner <input type="checkbox"/> Corporate President <input type="checkbox"/> Stockholder <input type="checkbox"/> Partner <input type="checkbox"/> Corporate Secretary <input type="checkbox"/> Club Officer <input type="checkbox"/> Member			Percent of ownership
3.5 Complete name	Social Security number *	Date of birth (month, day, year)	Citizen of United States <input type="checkbox"/> Yes <input type="checkbox"/> No
Address (number and street, city, state, and ZIP code)			
Nature of interest <input type="checkbox"/> Sole Owner <input type="checkbox"/> Corporate President <input type="checkbox"/> Stockholder <input type="checkbox"/> Partner <input type="checkbox"/> Corporate Secretary <input type="checkbox"/> Club Officer <input type="checkbox"/> Member			Percent of ownership
3.6 Complete name	Social Security number *	Date of birth (month, day, year)	Citizen of United States <input type="checkbox"/> Yes <input type="checkbox"/> No
Address (number and street, city, state, and ZIP code)			
Nature of interest <input type="checkbox"/> Sole Owner <input type="checkbox"/> Corporate President <input type="checkbox"/> Stockholder <input type="checkbox"/> Partner <input type="checkbox"/> Corporate Secretary <input type="checkbox"/> Club Officer <input type="checkbox"/> Member			Percent of ownership
3.7 Complete name	Social Security number *	Date of birth (month, day, year)	Citizen of United States <input type="checkbox"/> Yes <input type="checkbox"/> No
Address (number and street, city, state, and ZIP code)			
Nature of interest <input type="checkbox"/> Sole Owner <input type="checkbox"/> Corporate President <input type="checkbox"/> Stockholder <input type="checkbox"/> Partner <input type="checkbox"/> Corporate Secretary <input type="checkbox"/> Club Officer <input type="checkbox"/> Member			Percent of ownership
3.8 Complete name	Social Security number *	Date of birth (month, day, year)	Citizen of United States <input type="checkbox"/> Yes <input type="checkbox"/> No
Address (number and street, city, state, and ZIP code)			
Nature of interest <input type="checkbox"/> Sole Owner <input type="checkbox"/> Corporate President <input type="checkbox"/> Stockholder <input type="checkbox"/> Partner <input type="checkbox"/> Corporate Secretary <input type="checkbox"/> Club Officer <input type="checkbox"/> Member			Percent of ownership
3.9 Complete name	Social Security number *	Date of birth (month, day, year)	Citizen of United States <input type="checkbox"/> Yes <input type="checkbox"/> No
Address (number and street, city, state, and ZIP code)			
Nature of interest <input type="checkbox"/> Sole Owner <input type="checkbox"/> Corporate President <input type="checkbox"/> Stockholder <input type="checkbox"/> Partner <input type="checkbox"/> Corporate Secretary <input type="checkbox"/> Club Officer <input type="checkbox"/> Member			Percent of ownership

If you need more space, please attach additional sheets.

PART 4. RETAILER PERMIT TYPE SPECIFIC QUESTIONS
(Skip to next section if you are not applying for a retail permit.)

You must meet specific requirements to hold certain types of permits. Please answer only the following questions that are applicable to your permit application.

4.1. RESIDENCY REQUIREMENTS (To be completed by all retail permit applicants.)

4.1.1. Sole owner / Partnership Permit

If applying as a sole owner or a partnership for any type of permit, are you now and have you been a continuous and bona fide resident of Indiana for five (5) years? *(If not, you do not qualify to hold the permit.)* Yes No

4.1.2. Corporation Permit (Attach a copy of Certificate of Existence from the Indiana Secretary of State.)

A. If applying as a corporation, is at least sixty percent (60%) of the outstanding common stock owned by persons who have been continuous and bona fide residents of Indiana for five (5) years? *(If no, please complete question 5.c.)* Yes No

B. If you answered no to question A above, please check which exception you meet to qualify to hold the applied for permit. *(See IC 7.1-3-21-6 for more information.)*

- Dining car permit Hotel permit Boat permit Airplane permit
 Gaming site permit Horse track permit Satellite horse track facility permit
 A retail establishment that is:
1. Served by adequate law enforcement at the permit location; and
2. For new applicants, will exceed \$200,000 in annual gross food sales at the permit location in the first two (2) year period and will exceed \$100,000 in annual gross food sales each year thereafter.

4.1.3. LLC / LLP Permit (Attach a copy of Certificate of Existence from the Indiana Secretary of State.)

A. If applying as a limited partnership, limited liability company, or limited liability partnership, is at least sixty percent (60%) of the ownership interest held by persons who have been continuous and bona fide residents of Indiana for five (5) years? Yes No

B. If you answered no to question A above, please check which exception you meet to qualify to hold the applied for permit. *(See IC 7.1-3-21-6 for more information.)*

- Dining car permit Hotel permit Boat permit Airplane permit
 Gaming site permit Horse track permit Satellite horse track facility permit
 A retail establishment that is:
1. Served by adequate law enforcement at the permit location; and
2. For new applicants, will exceed \$200,000 in annual gross food sales at the permit location in the first two (2) year period and will exceed \$100,000 in annual gross food sales each year thereafter.

4.2 MINORS (To be completed by all retail permit applicants.)

4.2.1. Will minors be present in the permit premises? *(If no, move to next applicable section.)* Yes No

4.2.2. Check the exception to IC 7.1-5-7-10 that allows minors in your permit premises. *(See IC 7.1-5-7-11 for additional information.)*

- | | |
|---|--|
| <input type="checkbox"/> Civic center | <input type="checkbox"/> Convention center |
| <input type="checkbox"/> Sports arena | <input type="checkbox"/> Bowling center |
| <input type="checkbox"/> Bona fide club | <input type="checkbox"/> Boat |
| <input type="checkbox"/> Dining car | <input type="checkbox"/> Pullman car |
| <input type="checkbox"/> Club car | <input type="checkbox"/> Passenger airplane |
| <input type="checkbox"/> Automobile racetrack | <input type="checkbox"/> Satellite facility (as defined in IC 4-31-2-20.5) |
| <input type="checkbox"/> Catering hall under IC 7.1-3-20-24 that is not open to the public | <input type="checkbox"/> Entertainment complex |
| <input type="checkbox"/> Indoor golf facility | <input type="checkbox"/> Indoor theater under IC 7.1-3-20-26 |
| <input type="checkbox"/> Licensed premises owned or operated by a postsecondary educational institution as described in IC 21-17-6-1 | <input type="checkbox"/> Horse racetrack facility holding a recognized meeting permit under IC 4-31-5 |
| <input type="checkbox"/> Recreational facility, such as a golf course, bowling center, or similar facility that has the recreational activity and not the sale of food and beverages as the principal purpose or function of the person's business | <input type="checkbox"/> Senior residence facility campus (as defined in IC 7.1-3-1-29(c)) at which alcoholic beverages are given or furnished as provide under IC 7.1-3-1-29 |
| <input type="checkbox"/> Restaurant has full** separation between bar room and family room | <input type="checkbox"/> No alcoholic beverages are served across a bar and service is accomplished by an employee |
| <input type="checkbox"/> A restaurant, the proprietor of which is the permit holder of a brewery permit issued under IC 7.1-3-2-2(b), that has limited separation***, but is not subject to the minimum gross food sales set forth in 905 IAC 1-41-2. | <input type="checkbox"/> A restaurant, the proprietor of which is the permit holder of a farm winery permit, that has limited separation***, but is not subject to the minimum gross food sales set forth in 905 IAC 1-41-2. |
| <input type="checkbox"/> A restaurant, the proprietor of which is the permit holder of an artisan distiller permit, that has limited separation***, but is not subject to the minimum gross food sales set forth in 905 IAC 1-41-2. | <input type="checkbox"/> The licensed premises of a food hall master permit under IC 7.1-3-20-29 or a food hall vendor permit under IC 7.1-3-20-30 that qualifies under IC 7.1-5-7-11(a)(30). |
| <input type="checkbox"/> A hotel other than a part of a hotel that is a room in a restaurant in which a bar is located over which alcoholic beverages are sold or dispensed by the drink* | <input type="checkbox"/> Restaurant has limited*** separation between bar room and family room and has minimum gross food sales of \$200,000 per year or sixty percent of the gross food and alcoholic beverage sales |

* Please note that you will be required to have full** or limited*** separation at any bar that is located in a room in a restaurant if minors may be present in the restaurant and no other exception applies.

** Full separation is a nontransparent wall at least seventy-two (72) inches high with a doorway or an open archway of no more than five (5) feet in width separating the barroom and the family dining room.

*** Limited separation is a structure or barrier that reasonably deters free access and egress without requirement for doors or gates separating the barroom and the family dining room.

4.3. BEER, WINE, AND LIQUOR RETAILER RESTAURANT (209) IN UNINCORPORATED AREA <i>(Excluding recreational facilities such as golf courses and bowling centers)</i>		
4.3.1. For a beer, wine, and liquor retailer in an unincorporated area, also known as a type 209 permit, is your projection that annual gross food sales at the location will exceed not less than \$200,000 by the end of the first two (2) years of operations and annual gross food sales will be at least \$100,000 each year thereafter?	<input type="checkbox"/> Yes	<input type="checkbox"/> No
4.4. LIQUOR RETAILER IN INCORPORATED AREA <i>(Does not include beer only, wine only, or beer and wine retailers.)</i>		
4.4.1. Is the proposed permit premises located in an incorporated city having a population of less than 5,000?	<input type="checkbox"/> Yes	<input type="checkbox"/> No
4.4.2. If the answer is yes, have you attached to the application the enabling ordinance from the city consenting to the issuance of liquor retailer's permits?	<input type="checkbox"/> Yes	<input type="checkbox"/> No
4.5. RETAIL CARRYOUT OF ALCOHOLIC BEVERAGES <i>(Review State Form 56312 to determine if the applied for permit type qualifies for carryout.)</i>		
4.5.1. Do you wish to sell alcoholic beverages for carryout? <i>(If yes, please attach State Form 56312, Carry-out Supplement.)</i>	<input type="checkbox"/> Yes	<input type="checkbox"/> No
4.6. CATERING HALL		
4.6.1. Are you applying for a special three-way catering hall permit that will allow you to sell alcoholic beverages for on-premises consumption on a premises that is used only for private catered events and has accommodations for at least 250 individuals?	<input type="checkbox"/> Yes	<input type="checkbox"/> No
4.7. CLUBS		
4.7.1. <i>If you are applying for a club permit, please check the appropriate box:</i>	<input type="checkbox"/> Social Club	<input type="checkbox"/> Fraternal Club
4.7.2. If a social club, does your association or organization meet the general requirements of IC 7.1-3-20-1?	<input type="checkbox"/> Yes	<input type="checkbox"/> No
4.7.3. If a fraternal club, does your association or corporation meet the general requirements of IC 7.1-3-20-1 and the specific requirements of IC 7.1-3-20-7?	<input type="checkbox"/> Yes	<input type="checkbox"/> No
4.7.4. If your club permit premises is outside the corporate limits, do you meet the requirements of IC 7.1-3-20-3?	<input type="checkbox"/> Yes	<input type="checkbox"/> No
4.8. HOTEL / RESORT HOTEL		
4.8.1. If you are applying as a hotel, do you meet the general requirements of IC 7.1-3-20-18?	<input type="checkbox"/> Yes	<input type="checkbox"/> No
4.8.2. If you are applying as a resort hotel, do you meet the requirements of IC 7.1-3-20-21?	<input type="checkbox"/> Yes	<input type="checkbox"/> No
4.9. HISTORIC DISTRICT		
4.9.1. If you are applying for an historic district permit, is the restaurant located in a district that is on the National Register of Historic Places that includes a county courthouse, a historic opera house, and a historic jail and sheriff's house pursuant to IC 7.1-3-20-16(g)?	<input type="checkbox"/> Yes	<input type="checkbox"/> No
<i>If yes, you must submit the appropriate verification, including a district map identifying the location of your restaurant, an approval letter from a city or town representative that indicates whether the city or town adopted an ordinance that requires a written commitment pursuant to IC 7.1-3-19-17, and a copy of the ordinance creating the district. If the city or town ordinance requires a written commitment, you must also submit a copy of the written commitment.</i>		
4.10. AIRPORT, ECONOMIC REDEVELOPMENT, RIVERFRONT, RAILWAY STATION, MOTOR SPORTS DISTRICT, LAKE FRONT, DEVELOPMENT DISTRICT, RENOVATION PROJECT		
4.10.1. If you are applying for a permit authorized by IC 7.1-3-20-16 or IC 7.1-3-20-16.8, do you meet the requirements for the designated permit? Specify the type of permit for which you are applying. _____ <i>(See Part 10 for list of permit types.)</i>	<input type="checkbox"/> Yes	<input type="checkbox"/> No
NOTE: <i>If you are applying for a municipal riverfront development permit (IC 7.1-3-20-16(d) and IC 7.1-3-20-16.1) or a lakefront development permit, motorsports development permit IC 7.1-3-20-16(k) or (l), redevelopment permit (IC 7.1-3-20-16.8), or renovation project (IC 7.1-3-20-16(e)(2)), you must also submit a letter indicating that the statutory requirements have been met and the mayor's approval of the permit, a map of the district identifying the premises location, and a copy of the ordinance creating the district.</i>		
<i>If you are applying for a permit in a publicly owned airport (IC 7.1-3-20-16(b)), union railway station (IC 7.1-3-20-16(c)), railway station (IC 7.1-3-20-16(e)), cultural center (IC 7.1-3-20-16(f)), or redevelopment district (IC 7.1-3-20-16(h) and (i)), please submit supporting documentation showing that your premises is located in a district that meets the qualification of the section authorizing the permit.</i>		
<i>If you are applying for a lakefront district permit pursuant to IC 7.1-3-20-16(j) and IC 7.1-3-20-16.2, please submit a map of the district identifying the location of your restaurant, detailed information concerning the expenditures of the state, local, and federal funds on the municipal lakefront development project, and a copy of the local ordinance or resolution authorizing the municipal lakefront development project.</i>		
4.11. EXCURSION BOAT		
4.11.1. If you are applying for a boat permit, do you engage in regular passenger service which makes regular runs in seasonal weather between established locations?	<input type="checkbox"/> Yes	<input type="checkbox"/> No
4.11.2. Are you requesting designation as a jumbo boat? <i>(Attach a copy of United States Coast Guard certification.)</i>	<input type="checkbox"/> Yes	<input type="checkbox"/> No
a) For jumbo boat designation, is the boat at least 135 feet long and 35 feet wide?	<input type="checkbox"/> Yes	<input type="checkbox"/> No
b) For jumbo boat designation, will boat dock in more than one county? <i>If yes, please list each county: _____</i>	<input type="checkbox"/> Yes	<input type="checkbox"/> No
4.12. HORSE TRACK/SATELLITE		
4.12.1. Are you applying for a horse track permit and do you currently hold a valid recognized meeting permit issued by the Indiana Gaming Commission?		
Permit number of recognized meeting	Date of issuance (month, day, year)	Date of expiration (month, day, year)
<input type="checkbox"/> Yes	<input type="checkbox"/> No	
4.12.2. Are you applying for a satellite permit?		
License number of satellite facility	Date of issuance (month, day, year)	Date of expiration (month, day, year)
<input type="checkbox"/> Yes	<input type="checkbox"/> No	

4.13 CIVIC CENTER, MALL, MARKET		
4.13.1 Please check the permit type for which you are applying:		
<input type="checkbox"/> Public facility of a stadium, exhibition hall, auditorium, theater, convention center, or civic center that qualifies for a permit under IC 7.1-3-1-25. <input type="checkbox"/> An entertainment complex that qualifies for a permit under IC 7.1-3-1-25(e). <input type="checkbox"/> Retail space in a mall pursuant to IC 7.1-3-20-24.4. <input type="checkbox"/> Retail space in a city market pursuant to IC 7.1-3-20-25.		
4.14 Food Hall Master		
4.14.1 Do you meet the qualification in IC 7.1-3-20-29? <input type="checkbox"/> Yes <input type="checkbox"/> No		
4.15 Food Hall Vendor		
4.15.1 Are you applying for a one-way, two-way, or three-way permit? <input type="checkbox"/> One-way <input type="checkbox"/> Two-way <input type="checkbox"/> Three-way 		
4.15.2 List the permit number for the master food hall permit in which your premises is located. _____		
4.15.3 What is the size of your vending space? <input type="checkbox"/> Less than 1,000 square feet <input type="checkbox"/> At least 1,000 square feet 		
4.16 GAMING SITE		
4.16.1. Are you applying for a gaming site permit and do you hold a valid riverboat license under IC 4-33-6, an operating agent contract under IC 4-33-6.5, or a gambling game license under IC 7.1-25? <input type="checkbox"/> Yes <input type="checkbox"/> No		
License number of gaming site	Date of issuance (month, day, year)	Date of expiration (month, day, year)
4.17. DINING CAR		
4.17.1. Do you own:		
a) a railroad as a public carrier; or		
b) cars which are operated as part of railroad train? <input type="checkbox"/> Yes <input type="checkbox"/> No		
4.18. RACE TRACK		
4.18.1. Do you operate an outdoor facility with the main purpose and function being organized sporting competition that does not include a facility to which IC 7.1-3-1-25(a) applies or a tract located in a county containing a consolidated city that contains a premises used in connection with the operation of a paved track more than two (2) miles in length that is used primarily in the sport of auto racing? <input type="checkbox"/> Yes <input type="checkbox"/> No		

PART 5. DEALER PERMIT TYPE SPECIFIC QUESTIONS

5.1. GROCERY STORE		
5.1.1. Are you applying as a sole proprietor or partnership?		<input type="checkbox"/> Yes <input type="checkbox"/> No
5.1.2. If yes, are you now and have you been a continuous and bona fide resident of Indiana for five (5) years?		<input type="checkbox"/> Yes <input type="checkbox"/> No
5.1.3. If you are applying for a beer only or beer and wine dealer - grocery permit, select the category of grocery store that qualifies you to hold this permit (See IC 7.1-1-3-18.5 for additional information.)		
<input type="checkbox"/> A supermarket, grocery store, or delicatessen and is primarily engaged in the retail sale of a general food line, which may include: a) Canned and frozen foods; b) Fresh fruits and vegetables; and c) Fresh and prepared meats, fish, and poultry.		
<input type="checkbox"/> A convenience store or food mart primarily engaged in: a) the retail sale of a line of good that may include milk, bread, soda, and snacks; or b) the retail sale of automotive fuels and the retail sale of a line of goods that may include milk, bread, soda, and snacks. c) The sale of alcoholic beverages will represent a percentage of annual gross sales of 25% or less, excluding gasoline and automotive oil products.		
<input type="checkbox"/> A warehouse club, superstore, supercenter, or general merchandise store and is primarily engaged in the retail sale of a general line of groceries or gourmet foods in combination with general lines of new merchandise, which may include apparel, furniture, and appliances		
<input type="checkbox"/> A specialty or gourmet food store primarily engaged in the retail sale of miscellaneous specialty foods not for immediate consumption and not made on the premises, not including: a) meat, fish, and seafood; b) fruits and vegetables; c) confections, nuts, and popcorn; and d) baked goods.		
5.2. PACKAGE LIQUOR STORE		
5.2.1. If applying as a sole owner or partnership, are you now and have you been a continuous and bona fide resident of this state for five (5) years?		<input type="checkbox"/> Yes <input type="checkbox"/> No
5.2.2. If applying as a corporation,:		
a) is at least sixty percent (60%) of the outstanding stock in the corporation owned by persons who have been continuous and bona fide residents of Indiana for five (5) years?		<input type="checkbox"/> Yes <input type="checkbox"/> No
b) does the stock described in the previous question constitute a controlling interest in the corporation?		<input type="checkbox"/> Yes <input type="checkbox"/> No
5.2.3. If applying as an LLC / LP / LLP,:		
a) is at least sixty percent (60%) of the partnership interest or sixty percent (60%) of the outstanding membership interest in the LLC / LP / LLP owned by persons who have been continuous and bona fide residents of Indiana for five (5) years?		<input type="checkbox"/> Yes <input type="checkbox"/> No
b) does the partnership or membership interest described in the previous question constitute a controlling interest in the corporation?		<input type="checkbox"/> Yes <input type="checkbox"/> No
5.2.4. Does your business meet the definition of a package liquor store in IC 7.1-1-3-28?		<input type="checkbox"/> Yes <input type="checkbox"/> No

5.3. BEER, WINE, AND LIQUOR DRUG STORE PERMITS

5.3.1. If you are applying for a beer, wine, and liquor drug store permit, do you hold a valid permit issued by the State Board of Pharmacy? Yes No

Permit number of pharmacy	Date of issuance (month, day, year)	Date of expiration (month, day, year)
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PART 6. MANAGER'S QUESTIONNAIRE

6.1. Name of manager (last, first, middle initial)		6.2. Social Security number *
6.3. Date of birth (month, day, year)	6.4. Employee permit number of manager	6.5. Date of expiration (month, day, year)
6.6. Home address (number and street, city, state, and ZIP code)		
6.7. Are you a citizen of the United States?		<input type="checkbox"/> Yes <input type="checkbox"/> No
6.8. Are you at least twenty-one (21) years old?		<input type="checkbox"/> Yes <input type="checkbox"/> No
6.9. Is it true that you are not an officer or employee of a person engaged in: The alcoholic beverage traffic, which person is a non-resident of this state; or The carrying on of any phase of manufacture of, traffic in, or transportation of alcoholic beverages without a permit under Title 7.1 of the Indiana Code when one is required by Title 7.1 of the Indiana Code?		<input type="checkbox"/> Yes <input type="checkbox"/> No
6.10. Are you a law enforcement officer, or a non-elected officer of a municipal corporation or government subdivision charged with any duty or function in the enforcement of Alcoholic Beverage Laws?		<input type="checkbox"/> Yes <input type="checkbox"/> No
6.11. Has an alcoholic beverage permit held by you been revoked within one (1) year prior to the date of this application for a permit?		<input type="checkbox"/> Yes <input type="checkbox"/> No
6.12. Have you made an application for a permit of any type which has been denied less than one (1) year prior to this application for a permit (unless the application was denied by a reason of a procedural or technical defect)? (If yes, please attach an explanation.)		<input type="checkbox"/> Yes <input type="checkbox"/> No
6.13. Are you now, and have you been for the last five (5) years a continuous and bona fide resident of Indiana?		<input type="checkbox"/> Yes <input type="checkbox"/> No
6.14. Do you hold a permit of any kind for the sale of alcoholic beverages in Indiana, or do you have any interest in any such permit, directly or indirectly, through ownership of stock or otherwise? If yes, list permit numbers. (Attach additional sheet, if necessary.)		<input type="checkbox"/> Yes <input type="checkbox"/> No
6.15. Have you been convicted of a felony? If yes, attach places and dates of arrest, court of record, and conviction and attach relevant court record(s).		<input type="checkbox"/> Yes <input type="checkbox"/> No
6.16. Have you been found in violation of the Indiana alcoholic beverage laws, rules, regulations, or orders of the Commission? If yes, explain on a separate attachment.		<input type="checkbox"/> Yes <input type="checkbox"/> No
Signatures of manager referred to in this schedule		

PART 7. FLOOR PLAN

INSTRUCTIONS: Applicant must submit floor plan drawing on letter size paper (8½" x 11") attached to this application. The drawing must show dimensions and identifications of any existing family room(s), seating arrangement(s), ballroom(s), bar(s), dance floor area(s), kitchen area(s), restrooms, storage and office areas, entrances/exits, patios, beer gardens, service windows, and alcoholic beverage display areas for all types of permits. Please sign and date the drawing.

NOTE: All drawings must be approved by the Commission before the permit is issued. If you wish to receive preliminary approval before construction begins, contact your local excise district office. Contact information for local excise district offices can be found at <http://in.gov/atc/ise/2379.htm>.

PART 8. AFFIRMATION OF APPLICANT

I certify that this application was completed by myself or by the preparer identified herein. I certify that I have read this completed document and that all information provided herein and on any attachments is true and correct. **I UNDERSTAND THAT IT IS A FELONY TO MISREPRESENT OR FALSIFY ANY PORTION OF THIS APPLICATION OR ATTACHED DOCUMENTS.**

I hereby consent for the duration of the permit term to inspection and search by an enforcement officer, without a warrant or other process, of my licensed premises, any approved satellite facility, approved storage facility, and vehicles to determine compliance with the provision of Indiana Code 7.1.

Note: The applicant MUST sign this application unless the proper Power of Attorney forms are attached to this application.

Signature of applicant		Date signed (month, day, year)
Printed name of applicant	Title of applicant	

PART 9. SIGNATURE OF PREPARER (if applicable)

I certify that I have examined this application and the accompanying documents, and to the best of my knowledge and belief, they are true, correct, and complete. I certify that the applicant reviewed the completed form prior to signing.

Signature of preparer		Date signed (month, day, year)
Printed name of preparer	Telephone number ()	

PART 10. PAYMENT AND CONTACT INFORMATION

Please remit business check, certified check, or money order – application will not be processed without payment.

Checks should be made payable to the Indiana Alcohol and Tobacco Commission.

MAIL COMPLETED APPLICATION, PAYMENT, AND SUPPORTING DOCUMENTS TO:

INDIANA ALCOHOL AND TOBACCO COMMISSION
302 West Washington Street, Room E114
Indianapolis, IN 46204

For additional information: www.IN.gov/atc or (317) 232-2430

PART 11. PERMIT TYPES

Permit Type		Fee	Fee Statute	
Dealer Permits	Drug store (IC 7.1-1-3-15)	Beer	\$500	IC 7.1-4-4.1-12
		Beer and wine	\$750	IC 7.1-4-4.1-12
		Beer and liquor	\$750	IC 7.1-4-4.1-12
		Beer and wine	\$750	IC 7.1-4-4.1-12
		Beer, wine, and liquor	\$1000	IC 7.1-4-4.1-12
		Liquor	\$500	IC 7.1-4-4.1-12
		Wine and liquor	\$750	IC 7.1-4-4.1-12
	Grocery store (IC 7.1-1-3-18.5)	Beer	\$500	IC 7.1-4-4.1-12
		Beer and wine	\$750	IC 7.1-4-4.1-12
	Package liquor store (IC 7.1-3-10-4)	Beer, wine and liquor	\$1000	IC 7.1-4-4.1-12
Retail Permits	Restaurant (IC 7.1-3-20-9)	Beer	\$500	IC 7.1-4-4.1-9
		Wine	\$500	IC 7.1-4-4.1-9
		Beer and wine	\$750	IC 7.1-4-4.1-9
		Beer, wine and liquor unincorporated (209)	\$1000	IC 7.1-4-4.1-9
		Beer, wine, and liquor incorporated (210)	\$1000	IC 7.1-4-4.1-9
		Beer, wine and liquor incorporated – small city (210-1)	\$1000	IC 7.1-4-4.1-9
	Social club (IC 7.1-3-20-1)	Beer	\$500	IC 7.1-4-4.1-9
		Beer and wine	\$750	IC 7.1-4-4.1-9
		Beer, wine, and liquor	\$1000	IC 7.1-4-4.1-9
	Civic center (IC 7.1-3-1-25), malls (IC 7.1-3-20-24.4), and market (IC 7.1-3-20-25)	Beer	\$500	IC 7.1-4-4.1-9
		Beer and wine	\$750	IC 7.1-4-4.1-9
		Beer, wine, and liquor	\$1000	IC 7.1-4-4.1-9
	Fraternal club	Beer	\$250	IC 7.4-4-4.1-10
		Beer and wine	\$250	IC 7.4-4-4.1-10
		Beer, wine, and liquor	\$250	IC 7.4-4-4.1-10
	Historic district (IC 7.1-3-20-16(g))	Beer and wine	\$750	IC 7.1-4-4.1-9
		Beer, wine, and liquor	\$1000	IC 7.1-4-4.1-9
	Economic redevelopment (IC 7.1-3-20-16(h) & (i))	Beer, wine, and liquor – Jeffersonville or Clarksville (IC 7.1-3-20-16(h))	\$1350	IC 7.1-3-20-16(h)
		Beer, wine, and liquor – Portage (IC 7.1-3-20-16(i))	\$1000	IC 7.1-4-4.1-9
	Hotel (IC 7.1-3-20-18)	Beer and wine retailer	\$750	IC 7.1-4-4.1-9
		Beer, wine, and liquor retailer	\$1000	IC 7.1-4-4.1-9
	Motor sports district (IC 7.1-3-20-16(k) and (l))	Beer, wine, and liquor	\$1000	IC 7.1-4-4.1-9
	Airport / railway (IC 7.1-30-20-16(b), (c), and (e))	Beer, wine, and liquor	\$1000	IC 7.4-4-4.1-9
	State park	Beer, wine, and liquor	\$250	IC 7.1-4-4.1-9(d)
	Dining car (IC 7.1-3-6-6, IC 7.1-3-16-1, IC 7.1-3-11-1)	Wine retailer	\$500	IC 7.1-4-4.1-11
		Beer, wine, and liquor	\$500	IC 7.1-4-4.1-11
	Race track (IC 7.1-3-6-16, IC 7.1-3-14-6)	Beer	\$500	IC 7.1-4-4.1-9
		Beer and wine	\$750	IC 7.1-4-4.1-9
	Excursion boat (IC 7.1-3-11-9, IC 7.1-3-6-11, IC 7.1-3-16-3)	Beer, wine, and liquor	\$500	IC 7.1-4-4.1-11
	Gaming site (IC 7.1-3-17.5)	Beer, wine, and liquor	\$25,000	905 IAC 1-43.1-2
	State fair (IC 7.1-3-21-14)	Beer, wine, and liquor	\$0	IC 7.1-3-21-14
	Catering hall (IC 7.1-3-20-24)	Beer, wine, and liquor	\$1000	IC 7.1-4-4.1-9
	Horse track (IC 7.1-3-17.7)	Beer, wine, and liquor	\$4000	905 IAC 1-39-1
	Horse track satellite facility (IC 7.1-3-17.7)	Beer, wine, and liquor	\$2000	905 IAC 1-39-1
	Development district (IC 7.1-3-20-16.8)	Beer, wine, and liquor	\$1000	IC 7.1-4-4.1-9
	Riverfront (IC 7.1-30-20-16(d)) and lakefront (IC 7.1-3-20-16(j))	Beer and wine	\$750	IC 7.1-4-4.1-9
		Beer, wine, and liquor	\$1000	IC 7.1-4-4.1-9
	Food hall (IC 7.1-3-20-29 to 30)	Food hall master permit beer, wine, and liquor	\$5000 annual renewal fee	IC 7.1-4-4.1-20
		Food hall vendor beer – less than 1000 square feet	\$1000 annual renewal fee	IC 7.1-4-4.1-21
		Food hall vendor beer and wine – less than 1000 square feet	\$1000 annual renewal fee	IC 7.1-4-4.1-21
		Food hall vendor beer, wine, and liquor – less than 1000 square feet	\$1000 annual renewal fee	IC 7.1-4-4.1-21
		Food hall vendor beer – 1000 to 2500 square feet	\$1000 annual renewal fee	IC 7.1-4-4.1-21
		Food hall vendor beer and wine – 1000 to 2500 square feet	\$1000 annual renewal fee	IC 7.1-4-4.1-21
		Food hall vendor beer, wine, and liquor – 1000 to 2500 square feet	\$1000 annual renewal fee	IC 7.1-4-4.1-21
	Renovation (IC 7.1-3-20-16(e)(2))	Beer	\$500	IC 7.1-4-4.1-9
		Beer and wine	\$750	IC 7.1-4-4.1-9
		Beer, wine, and liquor	\$1000	IC 7.1-4-4.1-9